



# NORTH STAR

Investment Management

## FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

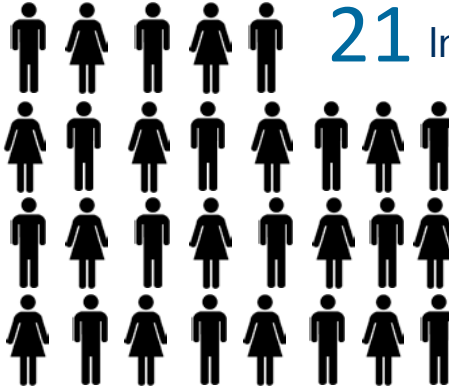
### 2 OFFICES

20 North Wacker Drive  
#1416 Chicago, IL 60606

332 Skokie Valley Road  
#221 Highland Park, IL 60035

### 15 YEARS

as an independent company



### 21 Investment Professionals

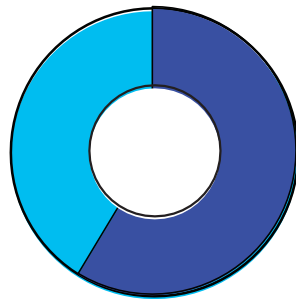
- 1 CFA
- 1 CPA
- 2 Research Consultants
- 1 CLU/ChFC
- 5 MBAs
- 4 CFPs
- 2 J.D.s

### OVER \$1.36 BILLION

 in client assets

**\$1.072 Billion**  
in Separately Management  
Accounts at North Star Investment  
Management Corporation

**\$289 MILLION** in  
North Star Mutual Funds



As of 9/30/2018

OVER 1900 SEPARATELY MANAGED  
INVESTMENT ADVISORY ACCOUNTS

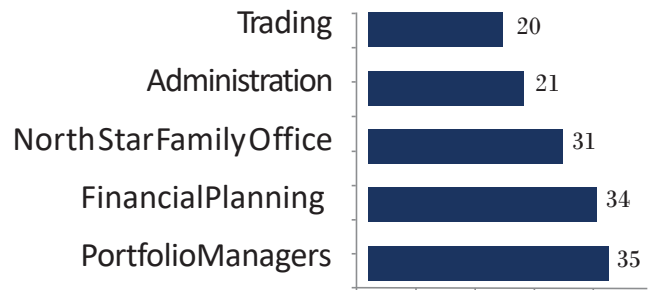
### INVESTMENT STRATEGIES

**Micro Cap Value** **Equity**  
GNMAs MICRO TO MACRO ETF  
**Fixed Income** **Small Cap Dividend**  
Preferred Stocks INDIVIDUAL STOCKS  
**Pre Refunded Municipal Bonds**  
CORPORATE BONDS **Balanced**  
U.S. Government Bonds

[www.nsinvest.com](http://www.nsinvest.com)

20N.WackerDr. Suite#1416 Chicago,IL 60606 (312)580-0900  
332SkokieValleyRoad Suite#221 HighlandPark,IL 60035 (847)831-8831

### Avg.Years of Industry Experience



### CLIENTS IN 47 & 4

States Countries

### 4 MUTUAL FUNDS

Visit [nsinvestfunds.com](http://nsinvestfunds.com) for more information

### NORTH STAR BENEFITS

Comprehensive insurance solutions including life, health, disability & long term care for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit [nsinvest.com](http://nsinvest.com) for more information or call 847.831.8831

GUIDING INVESTORS.



## FAMILY OF FINANCIAL SERVICES BY THE YEARS

- 2003**
  - Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund. The Company's offices are at the historic Civic Opera House in Chicago
  - Mary Anne Lazowski and Melissa Diamond join the Company as the first two employees
  - Sheldon Goodman joins North Star
- 2004**
  - Eric Kuby joins North Star
  - Sandy Logay joins North Star
- 2005**
  - Matthew Schwerin joins North Star
- 2006**
  - The North Star Opportunity Fund is launched
  - Brad Cohen joins North Star
  - North Star Investment Management assets exceed \$250 million for the first time
- 2008**
  - Survived the financial crisis
- 2009**
  - North Star opens an office in Highland Park, Illinois
  - Mike Mazursky joins North Star and establishes North Star Benefits
  - Sherri Anagnostakos joins North Star Benefits
  - Shellie Cohen joins North Star
- 2010**
  - The North Star Dividend Fund is launched
  - The North Star 10 10 Fund is launched
  - North Star Investment Management assets exceed \$500 million for the first time
  - Mickey Lefton joins North Star
  - Sharon Egan joins North Star
- 2011**
  - The North Star Opportunity Fund is converted to a mutual fund
- 2013**
  - The Kuby Gottlieb Special Value Fund is converted to a mutual fund and renamed the North Star Micro Cap Fund
  - The North Star Dividend Fund is converted to a mutual fund
  - Elaine Scroggins joins North Star
  - Total client assets exceed \$1 billion for the first time
- 2014**
  - The North Star Bond Fund is launched
  - William Richards joins North Star and establishes North Star Family Office
  - Allen Berg joins North Star
  - Shannon Sandler joins North Star
  - Heather Kaplan joins North Star
- 2015**
  - Vanetta Brown joins North Star
  - Andrew Eisenberg joins North Star
- 2016**
  - June Popio joins North Star

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