



# NORTH STAR

Investment Management

## FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

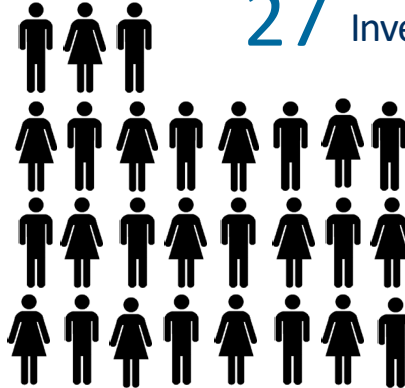
### 2 OFFICES

20 North Wacker Drive #1416  
Chicago, IL 60606

332 Skokie Valley Road #221  
Highland Park, IL 60035

### 18 YEARS

as an independent company



### 27 Investment Professionals & Staff

Including:

- 1 CFA
- 1 CLU/ChFC
- 8 MBAs
- 4 CFPs
- 2 J.D.s

### OVER \$1.5 BILLION

 in client assets

#### \$1.22 billion

in Separately Managed Accounts  
at North Star Investment  
Management Corporation

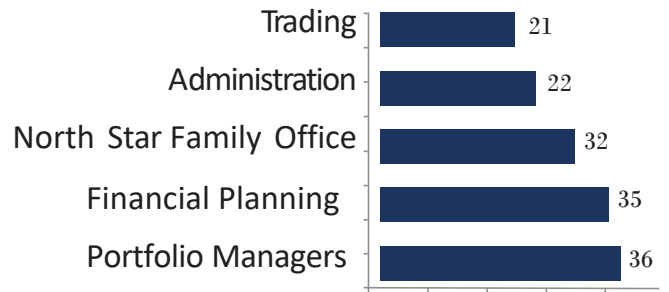


As of 9/30/2022

#### \$312 million

 in North Star  
Mutual Funds with over 3000  
shareholder accounts

#### Avg. Years of Industry Experience



OVER 2000 SEPARATELY MANAGED  
INVESTMENT ADVISORY ACCOUNTS

### NORTH STAR FINANCIAL PLANNING

We help with retirement planning, college saving projections, Social Security and Medicare decisions as well as budgeting and any personal finance related questions.

Visit [nsinvest.com/financial-planning](http://nsinvest.com/financial-planning) for more information or call (312) 440-5028

### NORTH STAR FAMILY OFFICE

Trust and Executor  
Administration

Charitable Giving

Estate  
Planning

TAX PLANNING  
Bookkeeping

Personal  
Administrative  
Services

Bill Pay

Visit [nsinvest.com/ns-family-office](http://nsinvest.com/ns-family-office) for more information  
or call (312) 338-7788

CLIENTS IN **47** States & **4** Countries

### 4 MUTUAL FUNDS

Visit [nsinvestfunds.com](http://nsinvestfunds.com) for more information

### NORTH STAR BENEFITS

Comprehensive insurance solutions including life, health, disability & long-term care for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit [nsinsure.com](http://nsinsure.com) for more information  
or call 847.831.8831



# NORTH STAR

## Investment Management

- 2003** - Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund. The Company's offices are at the historic Civic Opera House in Chicago.  
- Mary Anne Lazowski and Melissa Diamond join the Company as the first two employees  
- Sheldon Goodman joins North Star
- 2004** - Eric Kuby joins North Star  
- Sandy Logay joins NorthStar
- 2005** - Matthew Schwerin joins North Star
- 2006** - The North Star Opportunity Fund is launched  
- Brad Cohen joins North Star  
- North Star Investment Management assets exceed \$250 million
- 2008** - Survived the financial crisis
- 2009** - North Star opens an office in Highland Park, Illinois  
- Mike Mazursky joins North Star and establishes North Star Benefits  
- Sherri Anagnostakos joins North Star Benefits  
- Shellie Cohen joins North Star
- 2010** - The North Star Dividend Fund is launched  
- North Star Investment Management assets exceed \$500 million  
- Mickey Lefton joins North Star  
- Sharon Egan joins NorthStar
- 2011** - The North Star Opportunity Fund is converted to a mutual fund
- 2013** - The Kuby Gottlieb Special Value Fund is converted to a mutual fund and renamed the North Star Micro Cap Fund  
- The North Star Dividend Fund is converted to a mutual fund  
- Elaine Scroggins joins North Star  
- Total client assets exceed \$1 billion
- 2014** - The North Star Bond Fund is launched  
- William Richards joins North Star and establishes North Star Family Office  
- Shannon Sandler joins North Star  
- Heather Kaplan joins North Star
- 2015** - Vanetta Brown joins North Star  
- Andrew Eisenberg joins NorthStar
- 2016** - June Popio joins North Star
- 2017** - Jennie Cloud joins North Star
- 2018** - Pam Pitts joins North Star
- 2019** - Regal Total Return Fund merges with the North Star Opportunity Fund  
- Anna Lurie joins North Star
- 2020** - Eric Papenhagen joins North Star  
- Harry Petruleas joins North Star  
- Brooke Kuby joins North Star
- 2021** - Two Oaks Diversified Growth & Income Fund merges into North Star Opportunity Fund A Shares
- 2022** - Cassidy Logay joins North Star