



NORTH STAR

20TH ANNIVERSARY

FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision-making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

2 OFFICES

Downtown Chicago
& Highland Park

20 YEARS

as an independent company

OVER 2000

separately managed investment
advisory accounts



25 INVESTMENT PROFESSIONALS & STAFF

Including:

- 1 CFA Chartered Financial Analyst
- 1 CLU Chartered Life Underwriter
- 7 MBAs Master of Business Admin
- 4 CFPS® Certified Financial Planner™
- 2 JDs Juris Doctor

\$2 BILLION

 in client assets

\$1.6 billion

in Separately Managed Accounts
at North Star Investment
Management Corporation

\$404.3 million

in NorthStar Mutual Funds



As of 12/31/2023

NORTH STAR FINANCIAL PLANNING

We help with retirement planning, college saving projections, Social Security and Medicare decisions, budgeting and personal finance related questions.

Visit nsinvest.com/financial-planning for more
information or call (312) 440-5028

NORTH STAR FAMILY OFFICE

Trust and Executor Administration Charitable Giving Estate Planning
TAX PLANNING Personal Administrative Services Bill Pay
Bookkeeping

Visit nsinvest.com/ns-family-office for more
information or call (312) 338-7788

Average Years of Industry Experience

| | |
|--------------------------|----|
| Administration | 20 |
| Trading | 20 |
| North Star Family Office | 25 |
| Financial Planning | 26 |
| Portfolio Managers | 27 |
| Trust Admin | 28 |

THE TRUST COMPANY AT NORTH STAR

Coming Soon!

5 MUTUAL FUNDS

Visit nsinvestfunds.com for more information

NORTH STAR BENEFITS

Comprehensive insurance solutions including life, health, disability & long-term care for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit nsinsure.com for more
information or call 847.831.8831



NORTH STAR

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- 2003**
- Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund. The company's offices are at the historic Civic Opera House in Chicago.
 - Mary Anne Lazowski and Melissa Diamond join the company as the first two employees
 - Sheldon Goodman joins North Star
- 2004**
- Eric Kuby joins North Star
 - Sandy Logay joins NorthStar
- 2005**
- Matthew Schwerin joins North Star
- 2006**
- The North Star Opportunity Fund is launched
 - Brad Cohen joins North Star
 - North Star Investment Management assets exceed \$250 million
- 2008**
- Survived the financial crisis
- 2009**
- North Star opens an office in Highland Park, Illinois
 - Mike Mazursky joins North Star and establishes North Star Benefits
 - Sherri Anagnostakos joins North Star Benefits
 - Shellie Cohen joins North Star
- 2010**
- The North Star Dividend Fund is launched
 - North Star Investment Management assets exceed \$500 million
 - Mickey Lefton joins North Star
 - Sharon Egan joins North Star
- 2011**
- The North Star Opportunity Fund is converted to a mutual fund
- 2013**
- The Kuby Gottlieb Special Value Fund is converted to a mutual fund and renamed the North Star Micro Cap Fund
 - The North Star Dividend Fund is converted to a mutual fund
 - Elaine Scroggins joins NorthStar
 - Total client assets exceed \$1 billion
- 2014**
- The North Star Bond Fund is launched
 - William Richards joins North Star and establishes North Star Family Office
 - Shannon Sandler joins North Star
 - Heather Kaplan joins North Star
- 2015**
- Vanetta Brown joins North Star
 - Andrew Eisenberg joins NorthStar
- 2016**
- June Popio joins North Star
- 2017**
- Jennie Cloud joins North Star
- 2018**
- Pam Pitts joins North Star
- 2019**
- Regal Total Return Fund merges with the North Star Opportunity Fund
 - Anna Lurie joins North Star
- 2020**
- Eric Papenhagen joins North Star
 - Harry Petruleas joins North Star
 - Brooke Kuby joins North Star
- 2021**
- Two Oaks Diversified Growth & Income Fund merges into North Star Opportunity Fund A Shares
- 2023**
- Walhausen Small Cap Value Fund reorganized into the North Star Small Cap Value Fund
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20 N. Wacker Dr. Suite #1416
Chicago, IL 60606
(312) 580-0900

332 Skokie Valley Road Suite #221
Highland Park, IL 60035
(847)831-8831

Guiding Investors • Navigating Markets • Decades of Experience
nsinvest.com nsinvestfunds.com

Investors should carefully consider the investment objectives, risks, charges and expenses of the North Star Funds. This and other important information about each of the Funds are contained in the prospectus, which can be obtained at www.nsinvestfunds.com or by calling (855)580-0900. The prospectus should be read carefully before investing. The North Star Funds are distributed by Northern Lights Distributors, LLC member FINRA. North Star Investment Management Corporation is not affiliated with Northern Lights Distributors, 5047-NLD-1/16/2024