



# NORTH STAR

20TH ANNIVERSARY

## FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision-making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

### 2 OFFICES

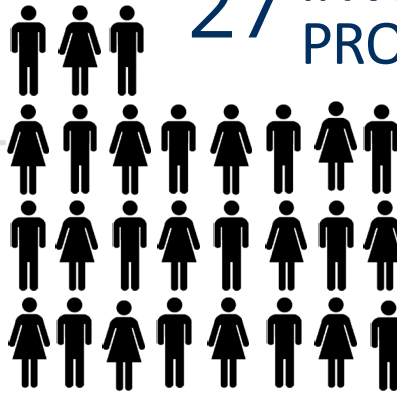
Downtown Chicago  
& Highland Park

### 20 YEARS

as an independent company

### OVER 2000

separately managed investment  
advisory accounts



### 27 INVESTMENT PROFESSIONALS & STAFF

Including:

- 1 CFA Chartered Financial Analyst
- 1 CLU Chartered Life Underwriter
- 7 MBAs Master of Business Admin
- 4 CFPS® Certified Financial Planner™
- 2 JDs Juris Doctor

### \$2.1 BILLION

 in client assets

### \$1.67 billion

in Separately Managed Accounts at  
North Star Investment Management  
Corporation

### \$416.3 million

in North Star Mutual Funds



As of 3/31/2024

### Average Years of Industry Experience



## NORTH STAR FINANCIAL PLANNING

We help with retirement planning, college saving projections, Social Security and Medicare decisions, budgeting and personal finance related questions.

Visit [nsinvest.com/financial-planning](https://nsinvest.com/financial-planning) for more information or call (312) 440-5028

## THE TRUST COMPANY AT NORTH STAR

*Coming Soon!*

## NORTH STAR FAMILY OFFICE

- Trust and Executor Administration
- TAX PLANNING
- Bookkeeping
- Charitable Giving
- Personal Administrative Services
- Estate Planning
- Bill Pay

Visit [nsinvest.com/ns-family-office](https://nsinvest.com/ns-family-office) for more information or call (312) 338-7788

## NORTH STAR BENEFITS

Comprehensive insurance solutions including life, health, disability & long-term care for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit [nsinsure.com](https://nsinsure.com) for more information or call 847.831.8831



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Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund. The company's offices are at the historic Civic Opera House in Chicago.	2003	Mary Anne Lazowski and Melissa Diamond join the company as the first two employees Sheldon Goodman joins North Star
Eric Kuby joins North Star	2004	Sandy Logay joins North Star
Matthew Schwerin joins North Star	2005	
The <u>North Star Opportunity Fund</u> is launched	2006	Brad Cohen joins North Star. North Star Investment Management assets exceed \$250 million
Survived financial crisis	2008	
North Star opens an office in Highland Park, Illinois Sherri Anagnostakos joins North Star Benefits	2009	Mike Mazursky joins North Star and establishes North Star Benefits Shellie Cohen joins North Star
The <u>North Star Dividend Fund</u> is launched Mickey Lefton joins North Star	2010	North Star Investment Management assets exceed \$500 million Sharon Egan joins North Star
The <u>North Star Opportunity Fund</u> is converted to a mutual fund	2011	
The Kuby Gottlieb Special Value Fund is converted to a mutual fund and renamed the <u>North Star Micro Cap Fund</u> Elaine Scroggins joins North Star	2013	The <u>North Star Dividend Fund</u> is converted to a mutual fund Total client assets exceed \$1 billion
The <u>North Star Bond Fund</u> is launched Shannon Sandler joins North Star	2014	William Richards joins North Star and establishes North Star Family Office Heather Kaplan joins North Star
Vanetta Brown joins North Star	2015	Andrew Eisenberg joins North Star
June Popio joins North Star	2016	
Jennie Cloud joins North Star	2017	
Pam Pitts joins North Star	2018	
Regal Total Return Fund merges with the <u>North Star Opportunity Fund</u>	2019	Anna Lurie joins North Star
Eric Papenhagen joins North Star Brooke Kuby joins North Star	2020	Harry Petruleas joins North Star
Two Oaks Diversified Growth & Income Fund merges into <u>North Star Opportunity Fund A Shares</u>	2021	
Walhausen Small Cap Value Fund reorganized into the <u>North Star Small Cap Value Fund</u>	2023	
Shelley Myers joins North Star North Star Investment Management assets exceed \$2 billion	2024	Colin Schwerin joins North Star

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Guiding Investors • Navigating Markets • Decades of Experience  
[nsinvest.com](http://nsinvest.com) [nsinvestfunds.com](http://nsinvestfunds.com)

**Investors should carefully consider the investment objectives, risks, charges and expenses of the North Star Funds. This and other important information about each of the Funds are contained in the prospectus, which can be obtained at [www.nsinvestfunds.com](http://www.nsinvestfunds.com) or by calling (855)580-0900. The prospectus should be read carefully before investing. The North Star Funds are distributed by Northern Lights Distributors, LLC member FINRA. North Star Investment Management Corporation is not affiliated with Northern Lights Distributors, LLC. 5275-NLD-4/13/2024**