



NORTH STAR

ESTABLISHED 2003

FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision-making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

2 OFFICES

Downtown Chicago
& Highland Park

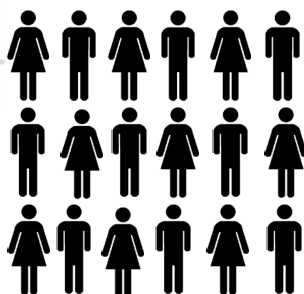
21 YEARS

as an independent company

OVER 1000

Client Relationships

28 INVESTMENT PROFESSIONALS & STAFF



Including:

- 1 **CFA** Chartered Financial Analyst
- 1 **CLU** Chartered Life Underwriter
- 7 **MBAs** Master of Business Admin
- 3 **CFPs®** Certified Financial Planner™
- 3 **JDs** Juris Doctor
- 1 **CTFA** Certified Trust and Fiduciary Advisor
- 1 **CPWA** Certified Private Wealth Advisor®

\$2.1 BILLION

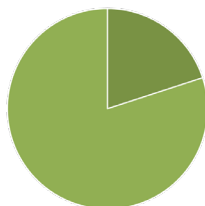
 in client assets

\$ 1.7 billion

in Separately Managed Accounts at
North Star Investment Management
Corporation

\$ 377.4 million

in North Star Mutual Funds



As of 3.31.2025

Average Years of Industry Experience

Family Office	27
Trust Administration	27
Portfolio Management	26
Trading	25
Administration	24
Wealth Management	20
Financial Planning	14

NORTH STAR FINANCIAL PLANNING

We help with **retirement planning**, **college saving projections**, **Social Security** and **Medicare** decisions, budgeting and personal finance related questions.

Visit nsinvest.com/financial-planning for more information or call (312) 440-5028

5 MUTUAL FUNDS

Visit nsinvestfunds.com for more information on our equity and bond funds.

NORTH STAR FAMILY OFFICE

Trust and Executor Administration **Charitable Giving** **Estate Planning**
TAX PLANNING **Personal Administrative Services** **Bill Pay**
Bookkeeping

Visit nsinvest.com/ns-family-office for more information or call (312) 338-7788

NORTH STAR BENEFITS

Comprehensive insurance solutions including **life**, **health**, **disability** & **long-term care** for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit nsinsure.com for more information or call 847.831.8831



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Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund. The company's offices are at the historic Civic Opera House in Chicago	2003	Mary Anne Lazowski and Melissa Diamond join the company as the first two employees Sheldon Goodman joins North Star
Eric Kuby joins North Star	2004	Sandy Logay joins North Star
Matthew Schwerin joins North Star	2005	
The <u>North Star Opportunity Fund</u> is launched	2006	Brad Cohen joins North Star North Star Investment Management assets exceed \$250 million
Survived financial crisis	2008	
North Star opens an office in Highland Park, Illinois Sherri Anagnostakos joins North Star Benefits	2009	Mike Mazursky joins North Star and establishes North Star Benefits Shellie Cohen joins North Star
The <u>North Star Dividend Fund</u> is launched Mickey Lefton joins North Star	2010	North Star Investment Management assets exceed \$500 million Sharon Egan joins North Star
The <u>North Star Opportunity Fund</u> is converted to a mutual fund	2011	
The Kuby Gottlieb Special Value Fund converted to a mutual fund and renamed the <u>North Star Micro Cap Fund</u> Elaine Scroggins joins North Star	2013	The <u>North Star Dividend Fund</u> is converted to a mutual fund Total client assets exceed \$1 billion
The North Star Bond Fund is launched Shannon Sandler joins North Star	2014	William Richards joins North Star and establishes North Star Family Office Heather Kaplan joins North Star
Vanetta Brown joins North Star	2015	Andrew Eisenberg joins North Star
June Popio joins North Star	2016	
Jennie Cloud joins North Star Benefits	2017	
Pam Pitts joins North Star	2018	
Regal Total Return Fund merges with the <u>North Star Opportunity Fund</u>	2019	
Eric Papenhagen joins North Star Brooke Kuby joins North Star	2020	Harry Petruleas joins North Star
Two Oaks Diversified Growth & Income Fund merges into <u>North Star Opportunity Fund A Shares</u>	2021	
Walthausen Small Cap Value Fund reorganized into the <u>North Star Small Cap Value Fund</u>	2023	
Shelley Myers joins North Star North Star Investment Management assets exceed \$2 billion	2024	Colin Schwerin joins North Star Joey Weiss joins North Star Keegan Kullby joins North Star
Dana Cahan joins North Star	2025	

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(847)831-8831

Guiding Investors • Navigating Markets • Decades of Experience

nsinvest.com nsinvestfunds.com

Investors should carefully consider the investment objectives, risks, charges and expenses of the North Star Funds. This and other important information about each of the Funds are contained in the prospectus, which can be obtained at www.nsinvestfunds.com or by calling (855)580-0900. The prospectus should be read carefully before investing. The North Star Funds are distributed by Northern Lights Distributors, LLC member FINRA. North Star Investment Management Corporation is not affiliated with Northern Lights Distributors, LLC.