



# NORTH STAR

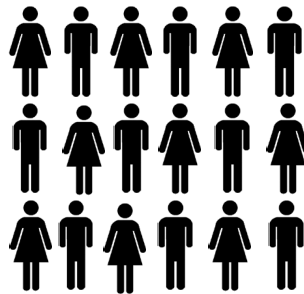
ESTABLISHED 2003

## FAMILY OF FINANCIAL SERVICES

The North Star Family of Financial Services is dedicated to guiding investors through the investment decision-making process and providing the highest quality personal service for all your financial needs. Our team of experienced investment professionals will help navigate your portfolio through volatile markets while attempting to illuminate value and uncover unique investment opportunities.

**OVER 1000**  
Client Relationships  
**23 YEARS**  
as an independent  
company  
**2 OFFICES**  
Downtown Chicago  
& Highland Park

## 26 INVESTMENT PROFESSIONALS & STAFF



Including:

- 1 **CFA** Chartered Financial Analyst
- 1 **CLU** Chartered Life Underwriter
- 7 **MBA**s Master of Business Admin
- 3 **CFPs**® Certified Financial Planner™
- 3 **JD**s Juris Doctor
- 1 **CTFA** Certified Trust and Fiduciary Advisor
- 1 **CPWA** Certified Private Wealth Advisor®

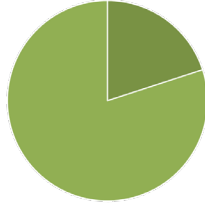
## \$2.5 BILLION in client assets

**\$2.04 billion**

in Separately Managed Account assets at North Star Investment Management Corporation

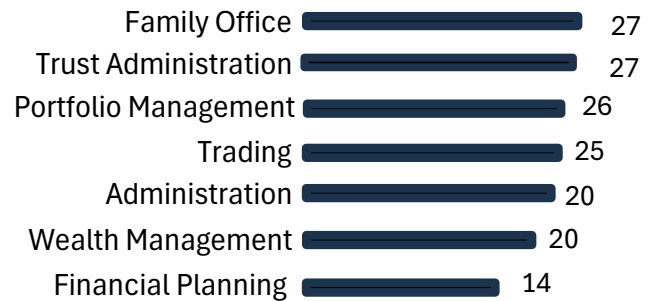
**\$458.5 million**

in NorthStar Mutual Fund assets



As of 3/31/2026

## Average Years of Industry Experience



## NORTH STAR FINANCIAL PLANNING

We help with **retirement planning**, **college saving projections**, **Social Security** and **Medicare** decisions, budgeting and personal finance related questions.

Visit [nsinvest.com/financial-planning](https://nsinvest.com/financial-planning) for more information or call (312) 440-5028

## 5 MUTUAL FUNDS

Visit [nsinvestfunds.com](https://nsinvestfunds.com) for more information on our equity and bond funds.

## NORTH STAR FAMILY OFFICE

**Trust and Executor Administration**   **Charitable Giving**   **Estate Planning**  
**TAX PLANNING**   **Personal Administrative Services**   **Bill Pay**  
**Bookkeeping**

Visit [nsinvest.com/ns-family-office](https://nsinvest.com/ns-family-office) for more information or call (312) 338-7788

## NORTH STAR BENEFITS

Comprehensive insurance solutions including **life**, **health**, **disability** & **long-term care** for over 500 individual clients. Benefit planning for over 100 corporate clients. Over \$1 billion of in force life insurance.

Visit [nsinsure.com](https://nsinsure.com) for more information or call 847.831.8831



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2003	Peter Gottlieb establishes a SEC registered investment advisor with approximately \$50 million in client assets, including the Kuby Gottlieb Special Value Fund The company's offices are at the historic Civic Opera House in Chicago Sheldon Goodman joins North Star Mary Anne Lazowski and Melissa Diamond join the company as the first two employees
2004	Eric Kuby joins North Star Sandy Logay joins North Star
2005	Matthew Schwerin joins North Star The <u>North Star Opportunity Fund</u> is launched
2006	North Star Investment Management assets exceed \$250 million Brad Cohen joins North Star
2008	Survived financial crisis North Star opens an office in Highland Park, Illinois
2009	Mike Mazursky joins North Star and establishes North Star Benefits Shellie Cohen joins North Star Sherri Anagnostakos joins North Star Benefits
2010	The <u>North Star Dividend Fund</u> is launched North Star Investment Management assets exceed \$500 million Mickey Lefton joins North Star Sharon Egan joins North Star
2011	The <u>North Star Opportunity Fund</u> is converted to a mutual fund
2013	The Kuby Gottlieb Special Value Fund converted to a mutual fund and renamed the <u>North Star Micro Cap Fund</u> The <u>North Star Dividend Fund</u> is converted to a mutual fund Total client assets exceed \$1 billion Elaine Scroggins joins North Star
2014	The North Star Bond Fund is launched William Richards joins North Star and establishes North Star Family Office Heather Kaplan joins North Star Shannon Sandler joins North Star
2015	Andrew Eisenberg joins North Star Vanetta Brown joins North Star
2016	June Popio joins North Star
2017	Jennie Cloud joins North Star Benefits
2018	Pam Pitts joins North Star
2019	Regal Total Return Fund merges with the <u>North Star Opportunity Fund</u> Eric Papenhagen joins North Star
2020	Harry Petruleas joins North Star Brooke Kuby joins North Star
2021	Two Oaks Diversified Growth & Income Fund merges into <u>North Star Opportunity Fund A Shares</u>
2023	Walthausen Small Cap Value Fund reorganized into the <u>North Star Small Cap Value Fund</u> North Star Investment Management assets exceed \$2 billion
2024	Shelley Myers joins North Star Colin Schwerin joins North Star Joey Weiss joins North Star
2025	Dana Cahan joins North Star
2026	Perritt Microcap Opportunities Fund merges with the <u>North Star Micro Cap Fund</u> North Star Investment Management assets exceed \$2.5 billion

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Guiding Investors • Navigating Markets • Decades of Experience  
[nsinvest.com](http://nsinvest.com)   [nsinvestfunds.com](http://nsinvestfunds.com)

*Investors should carefully consider the investment objectives, risks, charges and expenses of the North Star Funds. This and other important information about each of the Funds are contained in the prospectus, which can be obtained at [www.nsinvestfunds.com](http://www.nsinvestfunds.com) or by calling (855)580-0900. The prospectus should be read carefully before investing. The North Star Funds are distributed by Northern Lights Distributors, LLC member FINRA. North Star Investment Management Corporation is not affiliated with Northern Lights Distributors, LLC.*